

# Oracle modalities for higher dimensional types

Titouan Leclercq

Andrew Swan

ENS de Lyon (student)

University of Ljubljana

HoTT / UF 2025

# The setting

We're doing synthetic computability in HoTT, so we have:

- ◇  $\prod$  and  $\sum$  types
- ◇ higher inductive types
- ◇ universes
- ◇ univalence (not needed)

We also assume Markov's Principle in some proofs.

# The setting

We're doing synthetic computability in HoTT, so we have:

- ◇  $\prod$  and  $\sum$  types
- ◇ higher inductive types
- ◇ universes
- ◇ univalence (not needed)

We also assume Markov's Principle in some proofs.

Most of the work is formalized in Cubical Agda (soon to appear on <https://github.com/awswan/higher-computability>).

# Modalities in HoTT

Modalities are rich, but we only focus on a few things:

- ◇ a modality is a function  $\bigcirc : \mathcal{U} \rightarrow \mathcal{U}$  with a unit  $\eta : (A : \mathcal{U}) \rightarrow A \rightarrow \bigcirc A$  and fancy stuff;

# Modalities in HoTT

Modalities are rich, but we only focus on a few things:

- ◇ a modality is a function  $\bigcirc : \mathcal{U} \rightarrow \mathcal{U}$  with a unit  $\eta : (A : \mathcal{U}) \rightarrow A \rightarrow \bigcirc A$  and fancy stuff;
- ◇ a type  $A$  is  $\bigcirc$ -modal when  $\eta_A$  is an equivalence;
- ◇  $\mathcal{U}^\bigcirc$  is the type of all  $\bigcirc$ -modal types.

# Modalities in HoTT

Modalities are rich, but we only focus on a few things:

- ◇ a modality is a function  $\bigcirc : \mathcal{U} \rightarrow \mathcal{U}$  with a unit  $\eta : (A : \mathcal{U}) \rightarrow A \rightarrow \bigcirc A$  and fancy stuff;
- ◇ a type  $A$  is  $\bigcirc$ -modal when  $\eta_A$  is an equivalence;
- ◇  $\mathcal{U}^\bigcirc$  is the type of all  $\bigcirc$ -modal types.

$\mathcal{U}^\bigcirc$  will be seen as a subuniverse of  $\mathcal{U}$ . When  $\bigcirc$  is well behaved (and it will be),  $\mathcal{U}^\bigcirc$  is also a model of HoTT / CTT.

# Modalities in HoTT

Modalities are rich, but we only focus on a few things:

- ◇ a modality is a function  $\bigcirc : \mathcal{U} \rightarrow \mathcal{U}$  with a unit  $\eta : (A : \mathcal{U}) \rightarrow A \rightarrow \bigcirc A$  and fancy stuff;
- ◇ a type  $A$  is  $\bigcirc$ -modal when  $\eta_A$  is an equivalence;
- ◇  $\mathcal{U}^\bigcirc$  is the type of all  $\bigcirc$ -modal types.

$\mathcal{U}^\bigcirc$  will be seen as a subuniverse of  $\mathcal{U}$ . When  $\bigcirc$  is well behaved (and it will be),  $\mathcal{U}^\bigcirc$  is also a model of HoTT / CTT.

We need two main modalities:

- ◇  $\nabla$  is such that  $\mathcal{U}^\nabla$  is the classical world, where LEM is true
- ◇ given a family  $B : A \rightarrow \mathbf{Prop}$ , the nullification  $\bigcirc_B$  is the smallest modality such that in  $\mathcal{U}^{\bigcirc_B}$ , every  $B(a)$  is contractible.

# Computability

There is a subset  $\text{Comp} \subseteq (\mathbb{N} \rightarrow \mathbb{N})$  of computable (partial) functions enumerated by a function  $\varphi : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ .

Given  $f : A \rightarrow B$ ,  $f(a) \uparrow$  when  $f(a)$  is undefined,  $f(a) \downarrow$  when  $f(a)$  is defined.



# Computability

There is a subset  $\text{Comp} \subseteq (\mathbb{N} \rightarrow \mathbb{N})$  of computable (partial) functions enumerated by a function  $\varphi : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ .

Given  $f : A \rightarrow B$ ,  $f(a) \uparrow$  when  $f(a)$  is undefined,  $f(a) \downarrow$  when  $f(a)$  is defined.

Given a function  $f : \mathbb{N} \rightarrow \mathbb{N}$ ,  $\varphi_e^f$  is the  $e$ -th computable function with oracle for  $f$ : it has access to  $f$  as a new primitive term.

Turing reduction

$$f \leq_{\mathcal{T}} g \triangleq \exists e \in \mathbb{N}, f = \varphi_e^g$$

induces the Turing equivalence relation  $\equiv_{\mathcal{T}}$ . Turing degrees are equivalent classes for  $\equiv_{\mathcal{T}}$ .

# Computability

There is a subset  $\text{Comp} \subseteq (\mathbb{N} \rightarrow \mathbb{N})$  of computable (partial) functions enumerated by a function  $\varphi : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ .

Given  $f : A \rightarrow B$ ,  $f(a) \uparrow$  when  $f(a)$  is undefined,  $f(a) \downarrow$  when  $f(a)$  is defined.

Given a function  $f : \mathbb{N} \rightarrow \mathbb{N}$ ,  $\varphi_e^f$  is the  $e$ -th computable function with oracle for  $f$ : it has access to  $f$  as a new primitive term.

Turing reduction

$$f \leq_T g \triangleq \exists e \in \mathbb{N}, f = \varphi_e^g$$

induces the Turing equivalence relation  $\equiv_T$ . Turing degrees are equivalent classes for  $\equiv_T$ .

A subset  $X \subseteq \mathbb{N}$  is computable if  $\chi_X = \varphi_e$  for some  $e \in \mathbb{N}$ . It is computably enumerable (c.e.) if it is the domain of a partial computable function ( $\varphi_e$  for some  $e \in \mathbb{N}$ ). Example:  $K \triangleq \{e \in \mathbb{N} \mid \varphi_e(e) \downarrow\}$ .

# Synthetic computability

Comp is useless: every function is computable. We need the (internal) Church Thesis instead:

$$\forall f : \mathbb{N} \rightarrow \mathbb{N}, \exists e : \mathbb{N}, f = \varphi_e$$

For a noncomputable function “ $f : A \rightarrow B$ ”, we consider  $f : \nabla A \rightarrow \nabla B$ , equivalently  $f : A \rightarrow \nabla B$ .

$$f(a) \downarrow \triangleq \sum_{b:B} f a = \eta_B b$$

# Synthetic computability

Comp is useless: every function is computable. We need the (internal) Church Thesis instead:

$$\forall f : \mathbb{N} \rightarrow \mathbb{N}, \exists e : \mathbb{N}, f = \varphi_e$$

For a noncomputable function “ $f : A \rightarrow B$ ”, we consider  $f : \nabla A \rightarrow \nabla B$ , equivalently  $f : A \rightarrow \nabla B$ .

$$f(a) \downarrow \triangleq \sum_{b:B} f \ a = \eta_B \ b$$

A function  $\varphi_e^f$  is a function in “the world where  $f$  is total”.

Consider the family  $\lambda(a : A).(f \ a) \downarrow : A \rightarrow \text{Type}$  and its nullification is the world of  $f$ -computable functions.

Works well with sets, but what about higher inductive types?

# Seeing the oracle as counting

For sets, the most important case is a function  $f : \mathbb{N} \rightarrow \mathbb{N}$ .

$f$  can be seen as counting the set  $\mathbb{F}\text{in } (f \ n)$ : the family

$\lambda(n : \mathbb{N}).\text{isFinSet } (g \ n)$  works, with  $g \ n \triangleq \mathbb{F}\text{in } (f \ n)$ .

For higher dimension, we have a general notion: finite CW-complex.

# CW-complex

A small modification over the usual definition of CW-complex to be closer to enumeration.

A CW-skeleton is a sequence of:

- ◇ spaces  $X_n$ , (option) integer  $k_n$  and maps  $\alpha_n : \mathbb{S}^{k_n} \rightarrow X_n$  such that
- ◇  $X_0$  is empty
- ◇ for every  $n$ , we have a (option) pushout square

$$\begin{array}{ccc}
 \mathbb{S}^{k_n} & \xrightarrow{\alpha_n} & X_n \\
 \downarrow & & \downarrow \\
 \mathbf{1} & \longrightarrow & X_{n+1}
 \end{array}$$

# CW-complex

A small modification over the usual definition of CW-complex to be closer to enumeration.

A CW-skeleton is a sequence of:

- ◇ spaces  $X_n$ , (option) integer  $k_n$  and maps  $\alpha_n : \mathbb{S}^{k_n} \rightarrow X_n$  such that
- ◇  $X_0$  is empty
- ◇ for every  $n$ , we have a (option) pushout square

$$\begin{array}{ccc}
 \mathbb{S}^{k_n} & \xrightarrow{\alpha_n} & X_n \\
 \downarrow & & \downarrow \\
 \mathbf{1} & \longrightarrow & X_{n+1}
 \end{array}$$

A CW-complex is (merely) the colimit of a CW-skeleton, a finite CW-complex is the same for a finite CW-skeleton.

# First definition

We have the following situation:

$$\begin{array}{ccc}
 \text{CWFin} & \multimap & \mathcal{U} \\
 & & \uparrow \\
 \text{CWFin}^\nabla & \multimap & \mathcal{U}^\nabla
 \end{array}$$

A generalized oracle will be a family  $B : A \rightarrow \text{CWFin}^\nabla$ , the associated modality is the nullification of

$$\lambda(a : A). \text{isCWFin } (B \ a)$$



# First definition

We have the following situation:

$$\begin{array}{ccc}
 \text{CWFin} & \multimap & \mathcal{U} \\
 & & \uparrow \\
 \text{CWFin}^\nabla & \multimap & \mathcal{U}^\nabla
 \end{array}$$

A generalized oracle will be a family  $B : A \rightarrow \text{CWFin}^\nabla$ , the associated modality is the nullification of

$$\lambda(a : A).\text{isCWFin}(B a)$$

Every function  $f : A \rightarrow \nabla B$  with  $B$  a set can be seen as a generalized oracle, with the family  $\lambda(a : A).(f a) \downarrow$ . Knowing that  $(f a) \downarrow$  is a finite CW means that it is decidable, hence inhabited. So, we indeed have a generalization of oracle modalities.

# Motivation

$f : \mathbb{N} \rightarrow 2$  is c.e.  $\iff$  there exists  $g : \mathbb{N} \times \mathbb{N} \rightarrow 2$  non-decreasing on the second coordinate such that  $f(n) = \max_m g(n, m)$ . It can be seen as a grid we fill with elements through time:

$$\begin{array}{ccc}
 g(0, 0) & g(1, 0) & \dots \\
 g(0, 1) & g(1, 1) & \dots \\
 \vdots & \vdots & \ddots \\
 \downarrow & \downarrow & \\
 f(0) & f(1) & \dots
 \end{array}$$

We do the same with pushouts for the generalization.

# Definition

A family  $B : A \rightarrow \text{Type}$  is generalized c.e. (g.c.e.) when there is a grid  $X_{a,m} : A \times \mathbb{N} \rightarrow \text{Type}$  such that:

- ◇  $X_{a,0}$  is empty
- ◇ for each  $n$  and  $a : A$ , we have (option)  $k : \mathbb{N}$ ,  $\alpha : \mathbb{S}^k \rightarrow X_{a,n}$  and a pushout square

$$\begin{array}{ccc}
 \mathbb{S}^k & \xrightarrow{\alpha} & X_{a,n} \\
 \downarrow & & \downarrow \\
 \mathbf{1} & \longrightarrow & X_{a,n+1}
 \end{array}$$

- ◇ for each  $a : A$ ,  $B(a) \simeq \text{colim}_{m \rightarrow \infty} X_{a,m}$

We call such a grid a c.e. grid.

# Definition

A family  $B : A \rightarrow \text{Type}$  is generalized c.e. (g.c.e.) when there is a grid  $X_{a,m} : A \times \mathbb{N} \rightarrow \text{Type}$  such that:

- ◇  $X_{a,0}$  is empty
- ◇ for each  $n$  and  $a : A$ , we have (option)  $k : \mathbb{N}$ ,  $\alpha : \mathbb{S}^k \rightarrow X_{a,n}$  and a pushout square

$$\begin{array}{ccc}
 \mathbb{S}^k & \xrightarrow{\alpha} & X_{a,n} \\
 \downarrow & & \downarrow \\
 1 & \longrightarrow & X_{a,n+1}
 \end{array}$$

- ◇ for each  $a : A$ ,  $B(a) \simeq \text{colim}_{m \rightarrow \infty} X_{a,m}$

We call such a grid a c.e. grid.

If  $B : A \rightarrow \text{Prop}$ , it is c.e. iff it is g.c.e.

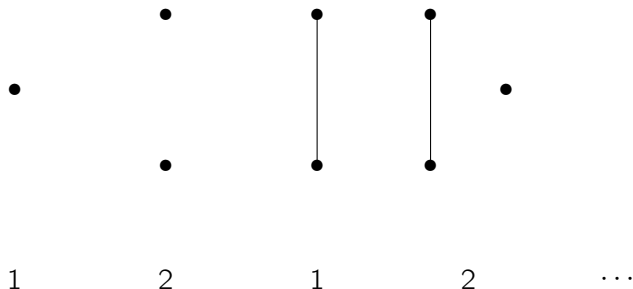
# The case of sets

The candidate for sets which are g.c.e: the  $K$ -c.e. sets.

$X$  is  $K$ -c.e.  $\iff$  there exists  $g : \mathbb{N} \times \mathbb{N} \rightarrow 2$  such that

$$f(n) = \liminf_m g(n, m)$$

We can simulate it with unary and binary sets:



The colimit in this case is the  $\liminf$  of the sequence of cardinals.

# The case of groupoids

If the type is a groupoid, it can be seen as a group:

- ◇ adding a path gives a constructor in the free group
- ◇ adding a homotopy between path gives a new relation

Then the oracle of a group gives a finite presentation of it. As finitely presented group can be non computable, it seems hard to know what happens for the computational strength.

# Conclusion

We have a generalization of oracle modalities for types of higher dimension.

# Conclusion

We have a generalization of oracle modalities for types of higher dimension.

We gave a generalization of being computably enumerable (the usual c.e. propositions are still c.e.).



# Conclusion

We have a generalization of oracle modalities for types of higher dimension.

We gave a generalization of being computably enumerable (the usual c.e. propositions are still c.e.).

It seems that going from propositions to sets gives a computational boost similar to having an oracle for  $K$ : do this happen for every dimension?

For groupoid, do we have the  $K'$ -c.e. sets? ( $K' = \{e \in \mathbb{N} \mid \varphi_e^K(e) \downarrow\}$ )

Thank you!