

The category of iterative sets in HoTT

Elisabeth Bonnevier¹ Håkon R. Gylterud¹ Daniel Gratzer²
Anders Mörtberg³

¹Department of Informatics, University of Bergen

²Department of Computer Science, Aarhus University

³Department of Mathematics, Stockholm University

Outline

- 1 The hierarchy of iterative sets, \mathcal{V}^0
- 2 \mathcal{V}^0 as a Tarski universe
- 3 \mathcal{V}^0 as a precategory and its Rezk completion

The hierarchy of iterative sets

Recall the hierarchy of iterative sets:

The hierarchy of iterative sets

Recall the hierarchy of iterative sets:

Definition (The hierarchy of iterative sets)

We define the following predicate on Aczel's type $W_{A:\mathcal{U}}A$:

iterative-set : $W_{A:\mathcal{U}}A \rightarrow \text{Type}$

iterative-set (sup A f) := is-embedding $f \times \prod_{a:A} \text{iterative-set } (f a)$

The hierarchy of iterative sets

Recall the hierarchy of iterative sets:

Definition (The hierarchy of iterative sets)

We define the following predicate on Aczel's type $W_{A:\mathcal{U}}A$:

iterative-set : $W_{A:\mathcal{U}}A \rightarrow \text{Type}$

iterative-set (sup $A f$) := is-embedding $f \times \prod_{a:A} \text{iterative-set } (f a)$

and take the corresponding subtype of $W_{A:\mathcal{U}}A$:

$$\mathcal{V}^0 := \sum_{x:W_{A:\mathcal{U}}A} \text{iterative-set } x$$

\mathcal{V}^0 is an h-set

Theorem

\mathcal{V}^0 is an h-set.

\mathcal{V}^0 is an h-set

Theorem

\mathcal{V}^0 is an h-set.

Proof.

Sketch:

- \mathcal{V}^0 is a fixpoint of the functor $X \mapsto \sum_{A:U} (A \hookrightarrow X)$
- For $(A, f), (B, g) : \sum_{A:U} (A \hookrightarrow \mathcal{V}^0)$ we have:

$$((A, f) = (B, g)) \simeq \prod_{x:\mathcal{V}^0} (\text{fiber } f \ x \simeq \text{fiber } g \ x)$$

- All the fibers are propositions



\mathcal{V}^0 as a Tarski universe

A Tarski universe is a type with a type family.

\mathcal{V}^0 as a Tarski universe

A Tarski universe is a type with a type family.

Definition

We define the following decoding function:

$$\text{El} : \mathcal{V}^0 \rightarrow \mathcal{U}$$

$$\text{El} (\text{sup } A \ f, \ p) := A$$

Types and type formers in \mathcal{V}^0

We have the following in \mathcal{V}^0 if we have them in \mathcal{U} .

Type formers:

- Π -types
- Σ -types
- identity types
- quotients

Types:

- the empty type
- the unit type
- the booleans
- the natural numbers

Computational behavior of El

The decoding of the types and type formers is definitional.

For $x : \mathcal{V}^0$, $y : \text{El } x \rightarrow \mathcal{V}^0$:

$$\text{El } (\Pi^0 x y) \equiv \prod_{a:\text{El } x} \text{El } (y a)$$

\mathcal{V}^0 is a universe of h-sets

Theorem

The decoding El x of any $x : \mathcal{V}^0$ is an h-set.

\mathcal{V}^0 is a universe of h-sets

Theorem

The decoding $\text{El } x$ of any $x : \mathcal{V}^0$ is an h-set.

Proof.

By construction, $\text{El } x$ embeds into \mathcal{V}^0 , which is an h-set. □

Univalence

Univalence(?)

Is the universe \mathcal{V}^0 univalent? No. For $x, y : \mathcal{V}^0$, the type $x = y$ is an h-proposition, while $\text{El } x \simeq \text{El } y$ is in general a proper h-set.

Precategory structure on \mathcal{V}^0

Definition (Precategory structure on \mathcal{V}^0)

The precategory \mathbf{V}^0 is given by

- $\text{Ob}(\mathbf{V}^0) := \mathcal{V}^0$
- $\text{Hom}_{\mathbf{V}^0}(x, y) := \text{El } x \rightarrow \text{El } y$

Precategory structure on \mathcal{V}^0

Definition (Precategory structure on \mathcal{V}^0)

The precategory \mathbf{V}^0 is given by

- $\text{Ob}(\mathbf{V}^0) := \mathcal{V}^0$
- $\text{Hom}_{\mathbf{V}^0}(x, y) := \text{El } x \rightarrow \text{El } y$

Theorem

\mathbf{V}^0 is finitely cocomplete and locally cartesian closed.

CwF structure

We can *almost* define a CwF structure on **HSet**:

- $\text{Ty } \Gamma := \Gamma \rightarrow \mathcal{H}\text{Set}$
- $\text{Tm}(\Gamma, A) := \prod_{x:\Gamma} A x$

CwF structure

We can *almost* define a CwF structure on **HSet**:

- $\text{Ty } \Gamma := \Gamma \rightarrow \mathcal{H}\text{Set}$
- $\text{Tm } (\Gamma, A) := \prod_{x:\Gamma} A x$

Problem: $\text{Ty } \Gamma$ is not an h-set!

CwF structure

We can *almost* define a CwF structure on **HSet**:

- $\text{Ty } \Gamma := \Gamma \rightarrow \mathcal{H}\text{Set}$
- $\text{Tm}(\Gamma, A) := \prod_{x:\Gamma} A x$

Problem: $\text{Ty } \Gamma$ is not an h-set!

\mathcal{V}^0 is an h-set, so we can construct the corresponding CwF structure:

- $\text{Ty } \Gamma := \text{El } \Gamma \rightarrow \mathcal{V}^0$
- $\text{Tm}(\Gamma, A) := \prod_{x:\text{El } \Gamma} \text{El}(A x)$

The rest of the construction is analogous to the one for **HSet**.

Relationship between \mathbf{V}^0 and \mathbf{HSet}

El extends to a functor $\mathbf{V}^0 \rightarrow \mathbf{HSet}$, which is full and faithful. Is \mathbf{HSet} the Rezk completion of \mathbf{V}^0 ?

Relationship between \mathbf{V}^0 and \mathbf{HSet}

El extends to a functor $\mathbf{V}^0 \rightarrow \mathbf{HSet}$, which is full and faithful. Is \mathbf{HSet} the Rezk completion of \mathbf{V}^0 ?

The functor is essentially surjective if and only if the function El is surjective.

Relationship between \mathbf{V}^0 and \mathbf{HSet}

El extends to a functor $\mathbf{V}^0 \rightarrow \mathbf{HSet}$, which is full and faithful. Is \mathbf{HSet} the Rezk completion of \mathbf{V}^0 ?

The functor is essentially surjective if and only if the function El is surjective.

Theorem (de Jong–Kraus–Forsberg–Xu)

The HoTT book V is equivalent to the type of covered marked extensional well-founded orders.

Relationship between \mathbf{V}^0 and \mathbf{HSet}

El extends to a functor $\mathbf{V}^0 \rightarrow \mathbf{HSet}$, which is full and faithful. Is \mathbf{HSet} the Rezk completion of \mathbf{V}^0 ?

The functor is essentially surjective if and only if the function El is surjective.

Theorem (de Jong–Kraus–Forsberg–Xu)

The HoTT book V is equivalent to the type of covered marked extensional well-founded orders.

Corollary

\mathcal{V}^0 is equivalent to the type of covered marked extensional well-founded orders.

Relationship between \mathbf{V}^0 and \mathbf{HSet}

El extends to a functor $\mathbf{V}^0 \rightarrow \mathbf{HSet}$, which is full and faithful. Is \mathbf{HSet} the Rezk completion of \mathbf{V}^0 ?

The functor is essentially surjective if and only if the function El is surjective.

Theorem (de Jong–Kraus–Forsberg–Xu)

The HoTT book V is equivalent to the type of covered marked extensional well-founded orders.

Corollary

\mathcal{V}^0 is equivalent to the type of covered marked extensional well-founded orders.

The assumption that El is surjective corresponds to Shulman's axiom of well-founded materialisation.

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0
- Relationship between \mathbf{V}^0 and \mathbf{HSet}

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0
- Relationship between \mathbf{V}^0 and \mathbf{HSet}
- Formalised using the Agda proof assistant

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0
- Relationship between \mathbf{V}^0 and \mathbf{HSet}
- Formalised using the Agda proof assistant
- Future work:

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0
- Relationship between \mathbf{V}^0 and \mathbf{HSet}
- Formalised using the Agda proof assistant
- Future work:
 - Universes in \mathcal{V}^0

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0
- Relationship between \mathbf{V}^0 and \mathbf{HSet}
- Formalised using the Agda proof assistant
- Future work:
 - Universes in \mathcal{V}^0
 - CwF structure on presheaves on \mathbf{V}^0

Summary

- \mathcal{V}^0 as a Tarski universe and as a precategory
- CwF structure on \mathbf{V}^0
- Relationship between \mathbf{V}^0 and \mathbf{HSet}
- Formalised using the Agda proof assistant
- Future work:
 - Universes in \mathcal{V}^0
 - CwF structure on presheaves on \mathbf{V}^0
 - Higher h-level generalisation: \mathcal{V}^n