Algebraic models of dependent type theory

Clive Newstead

HoTT/UF Workshop 2018 Oxford, UK (in absentia)

Saturday 7th July 2018

- 2 Connection with polynomial functors
- 3 Natural model semantics
- 4 Concluding remarks

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Natural models		

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Natural models		
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A map $f: Y \to X$ in $\widehat{\mathbb{C}} := [\mathbb{C}^{\mathrm{op}}, \mathbf{Set}]$ is representable if



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A map $f: Y \to X$ in $\widehat{\mathbb{C}} := [\mathbb{C}^{op}, \mathbf{Set}]$ is **representable** if for all $A \in \mathbb{C}$ and $x \in X(A)$



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A map $f : Y \to X$ in $\widehat{\mathbb{C}} := [\mathbb{C}^{\mathrm{op}}, \mathbf{Set}]$ is **representable** if for all $A \in \mathbb{C}$ and $x \in X(A)$ there exist $g : B \to A$ in \mathbb{C} and $y \in Y(B)$



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A map $f : Y \to X$ in $\widehat{\mathbb{C}} := [\mathbb{C}^{op}, \mathbf{Set}]$ is **representable** if for all $A \in \mathbb{C}$ and $x \in X(A)$ there exist $g : B \to A$ in \mathbb{C} and $y \in Y(B)$ such that the following square is a pullback:



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Natural models O●OO	Polynomials 00000000	

A natural model is a representable natural transformation



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Natural models		
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A natural model is a representable natural transformation



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Natural models		
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A natural model consists of:



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Natural models		
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A natural model consists of:

■ A base category C (of 'contexts' and 'substitutions');



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Natural models		
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Natural models			
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A natural model consists of:

- A base category C (of 'contexts' and 'substitutions');
- Presheaves \mathcal{U} and $\dot{\mathcal{U}}$ (of 'types-in-context' and 'terms-in-context');



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- A map of presheaves $p: \dot{\mathcal{U}} \to \mathcal{U}$ (term \mapsto its type);

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- A map of presheaves $p: \dot{\mathcal{U}} \to \mathcal{U}$ (term \mapsto its type);
- + data witnessing representability of p:

 $\mathcal{U} \\ \downarrow^{p} \\ \mathcal{U}$

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Natural models O●OO	Polynomials 00000000	

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- + data witnessing representability of p: $\forall \Gamma. A$



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A natural model consists of:

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- + data witnessing representability of p:

 $\forall \Gamma, A \exists$ (chosen) $\Gamma \cdot A, p_A, q_A$

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Type theory:

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Type theory:

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Natural model:



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Natural models		
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Type theory:

$$\frac{\Gamma \vdash A \text{ type } \Gamma, x : A \vdash B(x) \text{ type }}{\Gamma \vdash T(A, B) \text{ type }} \quad (T\text{-}FORM)$$

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Type theory:

$$\frac{\Gamma \vdash A \text{ type } \Gamma, x : A \vdash B(x) \text{ type }}{\Gamma \vdash T(A, B) \text{ type }} \quad (T\text{-FORM})$$

Natural model:

$$T:\sum_{A:\mathcal{U}}\mathcal{U}^{\dot{\mathcal{U}}_A}\to\mathcal{U}$$

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Polynomials	

2 Connection with polynomial functors

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Polynomials	
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Fix a locally cartesian closed category \mathcal{E} .



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Polynomials	
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Fix a locally cartesian closed category \mathcal{E} .

$$f: B \to A \qquad \rightsquigarrow \qquad P_f: \mathcal{E} \to \mathcal{E}$$



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Polynomials	
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Fix a locally cartesian closed category $\ensuremath{\mathcal{E}}.$

$$f: B \to A \quad \rightsquigarrow \quad P_f: \mathcal{E} \to \mathcal{E}$$

 $X \mapsto \sum_{a:A} X^{B_a}$



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Polynomials	
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Fix a locally cartesian closed category \mathcal{E} .

$$f: B o A \quad \rightsquigarrow \quad P_f: \mathcal{E} o \mathcal{E}$$

 $X \mapsto \sum_{a:A} X^{B_a}$

Call *P_f* a polynomial endofunctor and *f* a polynomial.

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Polynomials	
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Fix a locally cartesian closed category \mathcal{E} .

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 $X \mapsto \sum_{a:A} X^{B_a}$

Call *P_f* a polynomial endofunctor and *f* a polynomial.

Officially, P_f is the composite

$$\mathcal{E} \xrightarrow{\Delta_{B
ightarrow 1}} \mathcal{E}/B \xrightarrow{\Pi_{f}} \mathcal{E}/A \xrightarrow{\Sigma_{A
ightarrow 1}} \mathcal{E}$$

where Δ_f is pullback along f and $\Sigma_f \dashv \Delta_f \dashv \Pi_f$.

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 $\sim \rightarrow$

 $arphi: P_f \Rightarrow P_g$ cartesian natural transformation



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Cartesian morphisms of polynomials



 $\varphi: P_f \Rightarrow P_g$ cartesian natural transformation



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Polynomials	
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 $\varphi: P_f \Rightarrow P_g$ \rightsquigarrow cartesian natural transformation

Theorem (Gambino & Kock)

 Polynomials and cartesian morphisms are the 1- and 2-cells of a bicategory;

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Polynomials	
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 $\varphi: P_f \Rightarrow P_g$ \rightsquigarrow cartesian natural transformation

Theorem (Gambino & Kock)

- Polynomials and cartesian morphisms are the 1- and 2-cells of a bicategory;
- Polynomial functors and cartesian natural transformations are the 1- and 2-cells of a 2-category;

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Polynomials	
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 $\varphi: P_f \Rightarrow P_g$ \rightsquigarrow cartesian natural transformation

Theorem (Gambino & Kock)

- Polynomials and cartesian morphisms are the 1- and 2-cells of a bicategory;
- Polynomial functors and cartesian natural transformations are the 1- and 2-cells of a 2-category;
- These are biequivalent.

Polynomials	
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Admitting a unit type

Theorem (Awodey)

A natural model admits a unit type \Leftrightarrow there exist $\hat{1}, \hat{\star}$ as in:

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Natural models	Polynomials OO●OOOOO	

Admitting a unit type

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Natural models	Polynomials OO●OOOOO	

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Natural models	Polynomials OO●OOOOO	

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Natural models	Polynomials OO●OOOOO	

Admitting a unit type

Theorem (Awodey)

A natural model admits a unit type \Leftrightarrow there exist $\hat{\mathbf{1}}, \hat{\star}$ as in:

 \longleftrightarrow



Corollary

interpretations of unit types cartesian morphisms of polynomials $1 \Rightarrow p$

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Polynomials	
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Admitting dependent sum types

Theorem (Awodey)

A natural model admits a Σ -types \Leftrightarrow there exist $\widehat{\Sigma}$, \widehat{pair} as in:

 $\dot{\mathcal{U}}$ \downarrow_{p} \mathcal{U}

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Polynomials	
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Polynomials	
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A natural model admits a Σ -types \Leftrightarrow there exist $\hat{\Sigma}$, \hat{pair} as in:



Note also that $P_{\pi} = P_{p} \circ P_{p}$.

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Polynomials	
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A natural model admits a Σ -types \Leftrightarrow there exist $\widehat{\Sigma}$, pair as in:



Note also that
$$P_{\pi} = P_{p} \circ P_{p}$$
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Corollary

interpretations of Σ -types cartesian morphisms of polynomials $p \cdot p \Rightarrow p$

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Polynomials	
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Theorem (Awodey)

A natural model admits a Π -types \Leftrightarrow there exist $\widehat{\Pi}$, $\widehat{\lambda}$ as in:

 $\dot{\mathcal{U}}$ \downarrow^{p} \mathcal{U}



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Theorem (Awodey)

A natural model admits a Π -types \Leftrightarrow there exist $\widehat{\Pi}$, $\widehat{\lambda}$ as in:

 \longleftrightarrow



Corollary

interpretations of Π-types cartesian morphisms of polynomials $P_p(p) \Rightarrow p$

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In summary:

n.m. admits... $\Leftrightarrow \exists cartesian \dots$



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Polynomials	
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In summary:

$$\begin{array}{ccc} n.m. \mbox{ admits...} & \Leftrightarrow & \exists \mbox{ cartesian ...} \\ \hline 1 & 1 \Rightarrow p \end{array}$$



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Natural models	Polynomials	Semantics	End
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In summary:

n.m. admits	\Leftrightarrow	∃ cartesian
1		$1 \Rightarrow p$
Σ		$oldsymbol{ ho} \cdot oldsymbol{ ho} \Rightarrow oldsymbol{ ho}$



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Natural models	Polynomials ○○○○○●○○	

In summary:

n.m. admits	\Leftrightarrow	∃ cartesian
1		$1 \Rightarrow p$
Σ		$p \cdot p \Rightarrow p$
П		$P(p) \Rightarrow p$

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Natural models	Polynomials	

In summary:

n.m. admits	\Leftrightarrow	\exists cartesian
1		$1 \Rightarrow p$
Σ		$p \cdot p \Rightarrow p$
П		$P(ho) \Rightarrow ho$

This is a monad and an algebra.

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Natural models	Polynomials	

In summary:

n.m. admits	\Leftrightarrow	\exists cartesian
1		$1 \Rightarrow p$
Σ		$p \cdot p \Rightarrow p$
П		$P(ho) \Rightarrow ho$

This is almost a monad and an algebra.

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Natural models	Polynomials	

In summary:

n.m. admits	\Leftrightarrow	\exists cartesian
1		$1 \Rightarrow p$
Σ		$p \cdot p \Rightarrow p$
Π		$P(p) \Rightarrow p$

This is almost a monad and an algebra.

Goal. Find the appropriate notion of 3-cell (morphism *of morphisms* of polynomials) allowing us to make this more precise.

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	Polynomials		
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Given any morphism $f : B \to A$ in a locally cartesian closed category \mathcal{E} , we can form the **full internal subcategory** $\mathbb{S}(f) \in \mathbf{Cat}(\mathcal{E})$.



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Polynomials	
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Given any morphism $f : B \to A$ in a locally cartesian closed category \mathcal{E} , we can form the **full internal subcategory** $\mathbb{S}(f) \in \mathbf{Cat}(\mathcal{E})$.

• Object of objects = A;

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Given any morphism  $f : B \to A$  in a locally cartesian closed category  $\mathcal{E}$ , we can form the **full internal subcategory**  $\mathbb{S}(f) \in \mathbf{Cat}(\mathcal{E})$ .

- Object of objects = A;
- Object of morphisms =  $\sum_{a:a' \in A} B_{a'}^{B_a}$

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- Object of objects = A;
- Object of morphisms =  $\sum_{a,a' \in A} B_{a'}^{B_a} = (\pi_2^* f)^{\pi_1^* f}$  over  $A \times A$ .

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- Object of objects = A;
- Object of morphisms =  $\sum_{a,a' \in A} B_{a'}^{B_a} = (\pi_2^* f)^{\pi_1^* f}$  over  $A \times A$ .

Cartesian morphisms of polynomials  $\varphi : f \Rightarrow g$  induce full and faithful functors  $\mathbb{S}(\varphi) : \mathbb{S}(f) \to \mathbb{S}(g)$ .

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Given any morphism  $f : B \to A$  in a locally cartesian closed category  $\mathcal{E}$ , we can form the **full internal subcategory**  $\mathbb{S}(f) \in \mathbf{Cat}(\mathcal{E})$ .

- Object of objects = A;
- Object of morphisms =  $\sum_{a,a' \in A} B_{a'}^{B_a} = (\pi_2^* f)^{\pi_1^* f}$  over  $A \times A$ .

Cartesian morphisms of polynomials  $\varphi : f \Rightarrow g$  induce full and faithful functors  $\mathbb{S}(\varphi) : \mathbb{S}(f) \to \mathbb{S}(g)$ .

**Idea:** Given cartesian morphisms  $\varphi, \psi : f \Rightarrow g$ , take internal natural transformations  $\mathbb{S}(\varphi) \Rightarrow \mathbb{S}(\psi)$  to be our 3-cells.

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Theorem With respect to this notion of 3-cell:



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Theorem With respect to this notion of 3-cell:

**p** admits  $1, \Sigma \iff p$  is a **pseudomonad** 



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### Theorem

With respect to this notion of 3-cell:

- **p** admits  $1, \Sigma \iff p$  is a **pseudomonad**
- *p* also admits Π ⇔ *p* is a *p*-**pseudoalgebra**



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### Theorem

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- $p \text{ admits } 1, \Sigma \iff p \text{ is a pseudomonad}$
- *p* also admits Π ⇔ *p* is a *p*-**pseudoalgebra**

**Aside:** For a natural model  $p : \dot{\mathcal{U}} \to \mathcal{U}$ , let  $\mathbb{U} = \mathbb{S}(p) \in \mathbf{Cat}(\widehat{\mathbb{C}})$ .

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• Object of objects =  $\mathcal{U}$ .

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- Object of objects =  $\mathcal{U}$ .
- Object of morphisms =  $\sum_{A,B:U} [B]^{[A]}$ .

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### Theorem

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Considered as an indexed category  $\mathbb{C}^{op} \to Cat$ ,  $\mathbb{U}$  is equivalent to the 'context-indexed category of types' of Clairambault & Dybjer (2011).

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Considered as an indexed category  $\mathbb{C}^{op} \to Cat$ ,  $\mathbb{U}$  is equivalent to the 'context-indexed category of types' of Clairambault & Dybjer (2011).

**Bonus:** If  $(\mathbb{C}, p)$  admits  $1, \Sigma, \Pi$ , then  $\mathbb{U}$  is cartesian closed.

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	Semantics	

### 1 Natural models

- 2 Connection with polynomial functors
- 3 Natural model semantics
- 4 Concluding remarks



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## Initiality of the syntax

### Idea (Initiality 'conjecture')

The syntax of a dependent type theory  $\mathbb{T}$  should itself have the structure of a natural model, which is initial amongst all natural models interpreting  $\mathbb{T}$ .



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## Initiality of the syntax

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Goals:

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# Initiality of the syntax

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The syntax of a dependent type theory  $\mathbb{T}$  should itself have the structure of a natural model, which is initial amongst all natural models interpreting  $\mathbb{T}$ .

#### Goals:

 Build the syntactic natural models for some basic type theories and prove that they satisfy an appropriate universal property;

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# Initiality of the syntax

#### Idea (Initiality 'conjecture')

The syntax of a dependent type theory  $\mathbb{T}$  should itself have the structure of a natural model, which is initial amongst all natural models interpreting  $\mathbb{T}$ .

#### Goals:

- Build the syntactic natural models for some basic type theories and prove that they satisfy an appropriate universal property;
- Expand to more complicated type theories by (algebraically) freely adding type theoretic structure.

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We'll construct the free natural model on the theory with an *I*-indexed family of basic types.



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We'll construct the free natural model on the theory with an *I*-indexed family of basic types.

Definition Define  $(\mathbb{C}_{I}, p_{I} : \dot{\mathcal{U}}_{I} \to \mathcal{U}_{I})$  as follows:



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We'll construct the free natural model on the theory with an *I*-indexed family of basic types.

Definition

Define  $(\mathbb{C}_I, p_I : \mathcal{U}_I \to \mathcal{U}_I)$  as follows:

• Category of contexts:  $\mathbb{C}_I = (\mathbf{Fin}/I)^{\mathrm{op}}$ 



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#### Definition

Define  $(\mathbb{C}_I, p_I : \mathcal{U}_I \to \mathcal{U}_I)$  as follows:

- Category of contexts:  $\mathbb{C}_I = (\mathbf{Fin}/I)^{\mathrm{op}}$
- Presheaf of types:  $U_I = \text{cod} : \text{Fin}/I \rightarrow \text{Set} (A \xrightarrow{u} I) \mapsto I$

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• Typing map: 
$$(p_I)_{A \xrightarrow{u} I} = u : A \to I$$

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- Typing map:  $(p_l)_{A \xrightarrow{u} l} = u : A \to l$
- Representability data: given  $A \xrightarrow{u} I$  and  $i \in U_I(u) = I$ , let

$$(A \xrightarrow{u} I) \cdot i = (A + 1 \xrightarrow{[u,i]} I)$$

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$$(A \xrightarrow{u} I) \cdot i = (A + 1 \xrightarrow{[u,i]} I) \qquad \mathsf{p}_i : A \hookrightarrow A + 1$$

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Theorem  $(\mathbb{C}_l, p_l)$  is a natural model

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# Example #1: set of basic types

#### Theorem

 $(\mathbb{C}_{l}, p_{l})$  is a natural model, and for all natural models  $(\mathbb{C}, p : \dot{\mathcal{U}} \to \mathcal{U})$ and all *l*-indexed families  $\{O_{i}\}_{i \in I} \subseteq \mathcal{U}(\diamond)$ ,

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#### Theorem $(\mathbb{C}_{l}, p_{l})$ is a natural model, and for all natural models $(\mathbb{C}, p : \dot{\mathcal{U}} \to \mathcal{U})$ and all *l*-indexed families $\{O_{i}\}_{i \in I} \subseteq \mathcal{U}(\diamond)$ , there is a unique $F : (\mathbb{C}_{l}, p_{l}) \to (\mathbb{C}, p)$ with $F(i) = O_{i}$ for all $i \in I$ .

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**Goal:** Given a natural model  $(\mathbb{C}, p)$ , construct the 'smallest' natural model  $(\mathbb{C}_{\Sigma}, p_{\Sigma})$  which extends  $(\mathbb{C}, p)$  and admits  $\Sigma$ -types.

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We can represent (iterated)  $\Sigma$ -types by binary trees.



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We can represent (iterated)  $\Sigma$ -types by binary trees.

$$\sum_{\langle \langle x,y\rangle,z\rangle:\sum_{\substack{(x,y):\sum\\x:A}}B(x)}C(x,y)}\left(\sum_{w:D(x,y,z)}E(x,y,z,w)\right)$$



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We can represent (iterated)  $\Sigma$ -types by binary trees.

$$\sum_{\langle \langle x,y\rangle,z\rangle:\sum_{\substack{(x,y):\sum\\x\neq A}} B(x)} C(x,y) \left(\sum_{w:D(x,y,z)} E(x,y,z,w)\right)$$



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We can represent (iterated)  $\Sigma$ -types by binary trees.



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Definition Define  $(\mathbb{C}_{\Sigma}, p_{\Sigma} : \dot{\mathcal{U}}_{\Sigma} \to \mathcal{U}_{\Sigma})$  as follows:



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#### Definition

Define  $(\mathbb{C}_{\Sigma}, p_{\Sigma} : \mathcal{U}_{\Sigma} \to \mathcal{U}_{\Sigma})$  as follows:

 C_Σ: Objects (contexts) are the objects of C 'formally extended' by trees of dependent types;



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#### Definition

Define  $(\mathbb{C}_{\Sigma}, p_{\Sigma} : \mathcal{U}_{\Sigma} \to \mathcal{U}_{\Sigma})$  as follows:

- C_Σ: Objects (contexts) are the objects of C 'formally extended' by trees of dependent types;
- $U_{\Sigma}$  is the presheaf of *type trees*;

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- $\mathcal{U}_{\Sigma}$  is the presheaf of *type trees*;
- $\dot{\mathcal{U}}_{\Sigma}$  is the presheaf of *term trees*;
- $p_{\Sigma}$ : (tree of terms)  $\mapsto$  (tree of their types).

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#### Definition

Define  $(\mathbb{C}_{\Sigma}, p_{\Sigma} : \mathcal{U}_{\Sigma} \to \mathcal{U}_{\Sigma})$  as follows:

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- $p_{\Sigma}$ : (tree of terms)  $\mapsto$  (tree of their types).

There is a morphism  $I : (\mathbb{C}, p) \to (\mathbb{C}_{\Sigma}, p_{\Sigma})$ , which sends types and terms to trivial trees (one vertex, no edges).

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Theorem  $(\mathbb{C}_{\Sigma}, p_{\Sigma})$  is a natural model admitting  $\Sigma$ -types



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#### Theorem

 $(\mathbb{C}_{\Sigma}, p_{\Sigma})$  is a natural model admitting  $\Sigma$ -types, and for all  $F : (\mathbb{C}, p) \to (\mathbb{D}, q)$  with  $(\mathbb{D}, q)$  admitting  $\Sigma$ -types,

$$(\mathbb{C}, \boldsymbol{\rho}) \xrightarrow{F} (\mathbb{D}, q)$$
 $\downarrow$ 
 $(\mathbb{C}_{\Sigma}, \boldsymbol{\rho}_{\Sigma})$ 

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#### Theorem

 $(\mathbb{C}_{\Sigma}, p_{\Sigma})$  is a natural model admitting  $\Sigma$ -types, and for all  $F : (\mathbb{C}, p) \to (\mathbb{D}, q)$  with  $(\mathbb{D}, q)$  admitting  $\Sigma$ -types, there is a unique  $\Sigma$ -type-preserving  $F^{\sharp} : (\mathbb{C}_{\Sigma}, p_{\Sigma}) \to (\mathbb{D}, q)$  extending F along I.



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We can characterise freely admitting  $\Sigma$ -types functorially.



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We can characterise freely admitting  $\Sigma$ -types functorially.

**Inspiration:** Given a set *S*, the set of finite rooted binary trees with leaves labelled by elements of *S* is an initial algebra for the polynomial functor  $X \mapsto S + X \times X$ .

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**Inspiration:** Given a set *S*, the set of finite rooted binary trees with leaves labelled by elements of *S* is an initial algebra for the polynomial functor  $X \mapsto S + X \times X$ .

Theorem  $p_{\Sigma}$  is an initial algebra for the endofunctor  $f \mapsto p + f \cdot f$ .

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#### Example #3: freely adjoining a term Let $(\mathbb{C}, p)$ be a natural model and $O \in \mathcal{U}(\diamond)$ .

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**Idea:** Freely adjoin new term x : O by slicing by  $O (= \diamond \cdot O)$ .



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**Idea:** Freely adjoin new term x : O by slicing by  $O (= \diamond \cdot O)$ .



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**Idea:** Freely adjoin new term x : O by slicing by  $O (= \diamond \cdot O)$ .



**Note:** The objects of  $\mathbb{C}_{x:O}$  look like

 $\Gamma \cdot O \cdot A_1 \cdot \ldots \cdot A_n \xrightarrow{\text{projections}} \Gamma \cdot O \xrightarrow{! \cdot O} \xrightarrow{! \cdot O} O \xrightarrow{\downarrow P_O} \Gamma \xrightarrow{! \cdot O} \langle P_O \rangle$ 

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Theorem  $(\mathbb{C}_{x:O}, p_{x:O})$  is a natural model



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#### Theorem

 $(\mathbb{C}_{x:O}, p_{x:O})$  is a natural model, and for all  $F : (\mathbb{C}, p) \to (\mathbb{D}, q)$  and all a : FO in  $\mathbb{D}$ ,

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These slides: https://goo.gl/Ttacdq

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	Semantics	
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#### Theorem

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	Semantics	
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**Note:** *x* is given by the diagonal map  $O \rightarrow O \times O (= \diamond \cdot O \cdot O[p_O])$  in  $\mathbb{C}/O$ .

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#### 1 Natural models

- 2 Connection with polynomial functors
- 3 Natural model semantics
- 4 Concluding remarks



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Some areas of interest for the future:



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Natural models	Polynomials 00000000	End ●OO

Some areas of interest for the future:

Develop a formal theory of natural models in an arbitrary (suitably structured) category *E*, not just a presheaf topos;



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Natural models	Polynomials 00000000	End ●00

Some areas of interest for the future:

- Develop a formal theory of natural models in an arbitrary (suitably structured) category *E*, not just a presheaf topos;
- Further investigate properties of the full internal subcategory associated with a natural model;

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Natural models	Polynomials 00000000	End ●OO

Some areas of interest for the future:

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Natural models	Polynomials 00000000	End ●OO

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- Translate connections between polynomial monads and operads to this setting;
- Formalise natural models in HoTT.

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# Thanks for listening!

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#### **Related work with CwFs**

Clairambault & Dybjer (2011) The biequivalence of locally cartesian closed categories and Martin-Löf type theories, arXiv:1112.3456