

# A coinductive approach to $\infty$ -equivalence relations

jww Simon Boulier and Nicolas Tabareau (INRIA  
Nantes)

Egbert Rijke

Carnegie Mellon University  
erijke@andrew.cmu.edu

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# Overview & Goals

- ▶ To formulate in homotopy type theory precise criteria of what counts as ‘the structure of an equivalence relation’.
- ▶ To give an example of such a structure

$$\text{isEqRel} : \prod_{(A:\mathcal{U})} \text{rRel}_A \rightarrow \mathcal{U}$$

that meets the criteria of the first goal.

- ▶ To specify in homotopy type theory the structure of being a loop space.

- ▶ A **reflexive relation** on a type  $A$  consists of

$$R : A \rightarrow A \rightarrow \mathcal{U}$$

and a proof of reflexivity

$$\rho : \prod_{(a:A)} R(a, a).$$

- ▶ The type of all (small) reflexive relations on  $A$  is written

$$\text{rRel}_A.$$

- ▶ Given a map  $f : A \rightarrow X$ , we define the **prekernel**  $k(f)$  of  $f$  to be the reflexive relation

$$a, b \mapsto f(a) = f(b)$$

on  $A$ .

- ▶ A map  $f : A \rightarrow X$  is called **surjective** if

$$\prod_{(x:X)} \|\text{fib}_f(x)\|.$$

- ▶ The type of all surjective maps out of  $A$  is

$$(A \downarrow_s \mathcal{U}) := \sum_{(X:\mathcal{U})} \sum_{(f:A \rightarrow X)} \text{isSurj}(f)$$

- ▶ If we restrict the prekernel operation to the surjective maps, we get

$$(A \downarrow_s \mathcal{U}) \xrightarrow{k} \text{rRel}_A$$

## Problem

To define a *structure of homotopy coherent equivalence relations*

$$\text{isEqRel} : \prod_{(A:\mathcal{U})} \text{rRel}_A \rightarrow \mathcal{U}$$

such that for each  $A : \mathcal{U}$

- ▶ the prekernel operation  $k$  has a lift

$$\begin{array}{ccc} & \sum_{(\mathcal{R}:\text{rRel}_A)} \text{isEqRel}_A(\mathcal{R}) & \\ & \nearrow \mathcal{K} & \downarrow \\ (A \downarrow_s \mathcal{U}) & \xrightarrow{k} & \text{rRel}_A. \end{array}$$

Call  $\mathcal{K}(f)$  the *kernel* of  $f$ .

- ▶ The map  $\mathcal{K}$  is an equivalence.

- ▶ The lift  $\mathcal{K}$  ensures that every prekernel comes equipped with the structure of an equivalence relation.
- ▶ The condition that  $\mathcal{K}$  is an equivalence gives:
  - ▶ an inverse operation

$$\mathcal{Q} : \left( \sum_{(\mathcal{R}:r\text{Rel}_A)} \text{isEqRel}_A(\mathcal{R}) \right) \rightarrow (A \downarrow_s \mathcal{U}).$$

In other words, it assigns to every equivalence relation  $\mathcal{R}$

a **quotient type**  $A/\mathcal{R}$  and a **quotient map**  $q_{\mathcal{R}} : A \rightarrow A/\mathcal{R}$ .

- ▶ By the homotopy  $\mathcal{Q} \circ \mathcal{K} \sim \text{id}$  it follows that for every surjective map  $f : A \rightarrow X$  we have

$$\begin{array}{ccc}
 & A & \\
 f \swarrow & & \searrow q_{\mathcal{K}(f)} \\
 X & \xrightarrow{\simeq} & A/\mathcal{K}(f)
 \end{array}$$

- ▶ ▶ By the homotopy  $\mathcal{K} \circ \mathcal{Q} \sim \text{id}$  it follows that for every equivalence relation  $\mathcal{R} \equiv (R, \rho, e)$  we have

$$k(q_{\mathcal{R}}) = (R, \rho).$$

Furthermore, the proof that  $k(q_{\mathcal{R}})$  is an equivalence relation is equal to  $e$ .

In other words, the homotopy  $\mathcal{K} \circ \mathcal{Q} \sim \text{id}$  is precisely the **effectivity** of the quotienting operation  $\mathcal{Q}$ .

$\text{isEqRel}_A \equiv \text{fib}_k$



## Problem

To define a *homotopy coherent loop space structure*

$$\text{isLoopSpace} : \mathcal{U}_* \rightarrow \mathcal{U}$$

such that

- ▶ the loop space operation  $\Omega$  has a lift

$$\begin{array}{ccc} & \Sigma_{(\mathcal{A}:\mathcal{U}_*)} \text{isLoopSpace}(\mathcal{A}) & \\ & \nearrow \mathcal{K} & \downarrow \\ \text{PtdConnType} & \xrightarrow{\Omega} & \mathcal{U}_* \end{array}$$

so that every loop space comes equipped with the structure of being a loop space.

- ▶ The map  $\mathcal{K}$  is an equivalence.

## Definition

Let  $f : A \rightarrow X$  and  $g : B \rightarrow X$  be maps with a common codomain. The **join**  $f * g$  of maps is defined by first pulling back, and then pushing out the pullback span:

$$\begin{array}{ccc} \sum_{(a:A)} \sum_{(b:B)} f(a) = g(b) & \xrightarrow{\pi_2} & B \\ \pi_1 \downarrow & & \downarrow \text{inr} \\ A & \xrightarrow{\text{inl}} & A *_{X} B \\ & \searrow f & \downarrow f * g \\ & & X \end{array}$$

- ▶ In the **join construction** we take the **join-powers**  $f^{*n}$  of maps. This gives rise to a sequence

$$\begin{array}{ccccccc}
 A & \xrightarrow{\text{inr}} & A *_X A & \xrightarrow{\text{inr}} & A *_X (A *_X A) & \xrightarrow{\text{inr}} & \dots \\
 & \searrow f & & & & & \\
 & & X & & & & \\
 & & \downarrow f*f & & \swarrow f*3 & & \\
 & & & & & & \swarrow f*4
 \end{array}$$

that converges to the **image inclusion** of  $f$ .

- ▶ The image of  $f$  is a model for the  $\infty$ -quotient  $A/k(f)$ .

## Theorem

Consider a map  $f : A \rightarrow X$  and the join  $f * f : A *_X A \rightarrow X$  of  $f$  with itself. Then the commuting square

$$\begin{array}{ccc} \sum_{(a,b:A)} f(a) = f(b) & \dashrightarrow & \sum_{(x,y:A *_X A)} (f * f)(x) = (f * f)(y) \\ \downarrow & & \downarrow \\ A \times A & \xrightarrow{\text{inr} \times \text{inr}} & (A *_X A) \times (A *_X A) \end{array}$$

is a pullback square.

In this sense, the pre-kernel of  $f * f$  *extends* the pre-kernel of  $f$ .

Every pre-kernel extends to a new pre-kernel.

## Definition

Consider a type  $A$ , with a reflexive relation  $(R, \rho)$  over it. A **reflexive graph quotient** of the **reflexive graph**  $(A, R, \rho)$  consists of a type  $X$  that comes equipped with

$$\alpha_0 : A \rightarrow X$$

$$\alpha_1 : \prod_{(a,b:A)} R(a, b) \rightarrow (\eta_0(a) = \eta_0(b))$$

$$\alpha_r : \prod_{(a:A)} \eta_1(\rho(a)) = \text{refl}_{\eta_0(a)}$$

and satisfies the corresponding induction principle.

We assume every reflexive graph  $(A, R, \rho)$  has a reflexive graph quotient

$$\text{rcoeq}(A, R, \rho).$$

## Theorem

Let  $\Gamma$  be a reflexive graph, and let  $X$  be a type, and let

$$\alpha_0 : A \rightarrow X$$

$$\alpha_1 : \prod_{(a,b:A)} R(a,b) \rightarrow (\eta_0(a) = \eta_0(b))$$

$$\alpha_r : \prod_{(a:A)} \eta_1(\rho(a)) = \text{refl}_{\eta_0(a)}.$$

Then the following are equivalent:

1.  $X$  satisfies the induction principle of the reflexive graph quotient.
2. The square

$$\begin{array}{ccc} \sum_{(x,y:\Gamma_0)} \Gamma_1(x,y) & \xrightarrow{\pi_2} & \Gamma_0 \\ \pi_1 \downarrow & & \downarrow \alpha_0 \\ \Gamma_0 & \xrightarrow{\alpha_0} & X \end{array}$$

is a pushout square.

Every pre-kernel extends to a pre-kernel on its own reflexive graph quotient.



## Lemma

Let  $(R, \rho)$  be a reflexive relation on  $A$ , and suppose  $(S, \sigma)$  is a reflexive relation on  $\text{rcoeq}(A, R, \rho)$  such that the canonical family of maps

$$\prod_{(a,b:A)} R(a, b) \rightarrow S(\alpha_0(a), \alpha_0(b))$$

is a family of equivalences (i.e.  $S$  extends  $R$ ). Then we have

$$\prod_{(x,a,b:A)} R(a, b) \rightarrow (R(x, a) \simeq R(x, b))$$

Proof.

$$\begin{array}{ccc} \sum_{(a,b:A)} R(a,b) & \xrightarrow{\pi_2} & A \\ \pi_1 \downarrow & & \downarrow \alpha_0 \\ A & \xrightarrow{\alpha_0} & \text{rcoeq}(A, R, \rho) \\ & & \downarrow S(\alpha_0(x)) \\ & & \mathcal{U} \end{array}$$

$R(x)$

$R(x)$



## Definition

Let  $\mathcal{R} \equiv (R, \rho)$  be a reflexive relation on a type  $A$ . We say that  $\mathcal{R}$  has the structure of a **hereditarily reflexive relation** if there is a term of the **indexed coinductive** type  $\text{isHRR}(\mathcal{R})$  consisting of

1. reflexive relations  $\mathcal{S}$  on  $\text{rcoeq}(A, R, \rho)$  that extend  $\mathcal{R}$ ,
2. such that  $\mathcal{S}$  again has the structure of a hereditarily reflexive relation.

Given a hereditarily reflexive relation  $\mathcal{R}$  on  $A$ , we obtain a sequence

$$(A_0, R_0, \rho_0) \longrightarrow (A_1, R_1, \rho_1) \longrightarrow (A_2, R_2, \rho_2) \longrightarrow \cdots$$

of reflexive graphs and reflexive graph morphisms, where

$(A_0, R_0, \rho_0) \equiv (A, R, \rho)$ , and

$$A_{n+1} := \text{rcoeq}(A_n, R_n, \rho_n),$$

and  $(R_{n+1}, \rho_{n+1})$  is obtained from the fact that  $(R_n, \rho_n)$  is a hereditarily reflexive relation.

Let  $\mathcal{R}$  be a hereditarily reflexive relation on  $A$ . Then we define  $A/\mathcal{R}$  to be the sequential colimit of

$$A_0 \longrightarrow A_1 \longrightarrow A_2 \longrightarrow \cdots$$

with  $q_{\mathcal{R}}$  defined to be the map  $A_0 \rightarrow A/\mathcal{R}$  of the cocone structure of  $A/\mathcal{R}$ .

### Lemma

*The map  $q_{\mathcal{R}}$  is surjective*

This defines the **quotienting operation**  $\mathcal{Q}$ .

## Lemma

Let  $f : A \rightarrow X$  be a map. Then the pre-kernel  $k(f)$  can be given the structure of a hereditarily reflexive relation, defining the operation  $\mathcal{K}$ .

## Theorem

Let  $f : A \rightarrow X$  be a map. Then we have a commuting triangle

$$\begin{array}{ccc} & A & \\ f \swarrow & & \searrow q_{\mathcal{K}(f)} \\ \text{im}(f) & \xrightarrow{\cong} & A/\mathcal{K}(f) \end{array}$$

in which the bottom map is an equivalence. Hence we have  $Q \circ \mathcal{K} \sim \text{id}$ .

## Lemma

Let  $\mathcal{R}$  be a hereditarily reflexive relation on  $A$ . Using the fact that each  $R_{n+1}$  extends  $R_n$ , we can define

$$R_\infty : A_\infty \rightarrow A_\infty \rightarrow \mathcal{U}.$$

such that  $R(i_n(a), i_n(b)) \simeq R_n(a, b)$  for each  $a, b : A_n$ .

## Theorem

For each  $a : A$ , the total space

$$\sum_{(x:A_\infty)} R_\infty(i_0(a), x)$$

is contractible. Hence  $(R, \rho)$  is the pre-kernel of  $q_{\mathcal{R}}$ , and thus the triangle

$$\begin{array}{ccc} & \sum_{(\mathcal{R}:rRel_A)} \text{isEqRel}_A(\mathcal{R}) & \\ & \swarrow \mathcal{Q} & \downarrow \\ (A \downarrow_s \mathcal{U}) & \xrightarrow{k} & rRel_A. \end{array}$$

commutes.

# Conclusion

- ▶ It remains to lift the homotopy of the previous theorem to a homotopy  $\mathcal{K} \circ \mathcal{Q} \sim \text{id}$ .
- ▶ We hope to do this using a slightly different definition of hereditarily reflexive relations, that extends relations only **on one argument**.
- ▶ Our theorems are in the process of being formalized in the Coq proof assistant.