

Univalence
FOR
DUMMIES



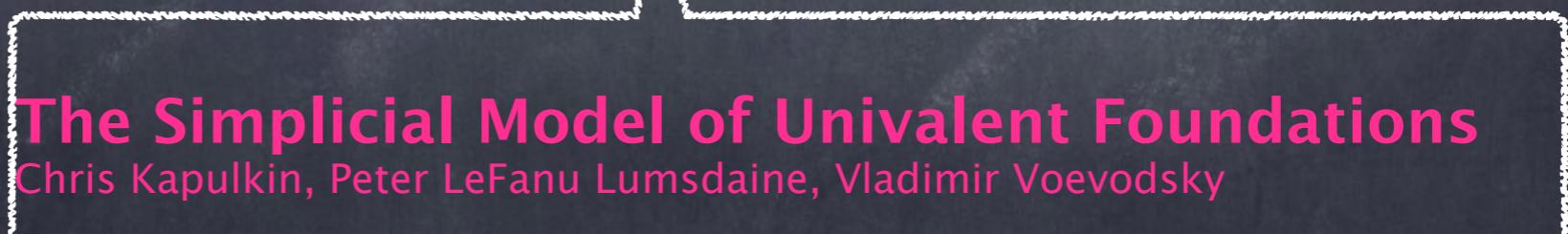
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- Email discussion with David McAllester
- Why do we believe that univalence is sound?
- Doesn't understand the simplicial set model.



The Simplicial Model of Univalent Foundations

Chris Kapulkin, Peter LeFanu Lumsdaine, Vladimir Voevodsky

Groupoids!



July 3, 2015, Friday

TLCA Invited Talk

(chair: Peter Dybjer)

9:00: Martin Hofmann.

The Groupoid Interpretation
of Type Theory, a Personal
Retrospective

- Groupoids : univalent universe of sets
- Setoids : univalent universe of propositions
- Can we do 2-groupoids?
- This gets complicated !

Type Theory eats itself without indigestion

joint work with Ambrus Kaposi



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Type Theory in Type Theory?

Type Theory should eat itself
James Chapman, LFMTP 2008

A Formalisation of a
Dependently Typed Language
as an Inductive-Recursive Family
Nils Anders Danielsson
TYPES 2006

- No pre-terms ! Only typed objects.
- Verified Metatheory
- Template Type Theory

Guilhem Jaber, Nicolas Tabareau,
Matthieu Sozeau.
Extending Type Theory with Forcing.
LICS 2012

```
data Ty : Set where
  ℓ      : Ty
  _⇒_   : Ty → Ty → Ty
```

```
data Con : Set where
  •     : Con
  _,_   : Con → Ty → Con
```

```
data Var : Con → Ty → Set where
  zero : Var (Γ , σ) σ
  suc  : Var Γ σ → Var (Γ , τ) σ
```

```
data Tm : Con → Ty → Set where
  var  : Var Γ σ → Tm Γ σ
  _$_  : Tm Γ (σ ⇒ τ) → Tm Γ σ → Tm Γ τ
  λ    : Tm (Γ , σ) τ → Tm Γ (σ ⇒ τ)
```

Simply Typed λ -calculus

OMITTED

- Substitution

```
_[_] : Tm Γ σ → Tms Γ Δ → Tm Γ σ
```

- $\beta\ \eta$ - Equality

```
data _~_ : Tm Γ σ → Tm Γ σ → Set
```

- Terms as quotient

```
Tm Γ σ / ~
```

Dependent Types

```
data Con : Set
data Ty : Con → Set
data Tm : ( $\Gamma$  : Con) → Ty  $\Gamma$  → Set
data Tms : Con → Con → Set
```

Induction-Induction

$$_,_ : (\Gamma : \text{Con}) \rightarrow \text{Ty} \quad \Gamma \rightarrow \text{Con}$$
$$___T : \text{Ty} \quad \Delta \rightarrow \text{Tms} \quad \Gamma \quad \Delta \rightarrow \text{Ty} \quad \Gamma$$
$$_,_ : (\delta : \text{Tms} \quad \Gamma \quad \Delta) \{ A : \text{Ty} \quad \Delta \} \rightarrow \text{Tm} \quad \Gamma \quad (A ___ \delta T) \rightarrow \text{Tms} \quad \Gamma \quad (\Delta , A)$$

A categorical semantics for inductive-inductive definitions
TA, Frederik Forsberg, Peter Morris and Anton Setzer
CALCO 2011

Coerce

```
coe      : A ~Ty B → Tm Γ A → Tm Γ B
```

Dependent Types II

```
data Con : Set
data Ty : Con → Set
data Tm : (Γ : Con) → Ty Γ → Set
data Tms : Con → Con → Set
data _~Con_ : Con → Con → Set
data _~Ty_ : Ty Γ → Ty Γ → Set
data _~Tm_ : Tm Γ A → Tm Γ A → Set
data _~Tms_ : Tms Γ Δ → Tms Γ Δ → Set
```

Boilerplate

- \sim 's are equivalence relations
- constructors are congruences
- $\text{Ty}, \text{Tm}, \text{Tms}$ are families of setoids

This way I will never finish my
Type Theory in Type Theory



Search: 949000435

Higher Inductive Types (HITs) to the rescue

```
data S1 : Set where
  base : S1
  loop : base ≡ base
```

- HITs which are sets can be useful.
- Quotient Inductive Types (QITs)
- Examples in the HoTT book:
 - Cauchy reals (11.3)
 - Cumulative hierarchy of sets (10.5)

The infinite tree example

```
data T0 : Set where
  leaf : T0
  node : (N → T0) → T0
```

```
data _~_ : T0 → T0 → Set where
  leaf : leaf ~ leaf
  node : (∀ {n} → f n ~ g n) → node f ~ node g
  perm : isIso f → node g ~ node (g ∘ f)
```

T = T₀ / _~_

Define !

```
nodeT : (N → T) → T
```

```
[ node f ] ≡ nodeT (λ i → [ f i ])
```

Infinite trees as a QIT

```
data T : Set where
  leaf : T
  node : (N → T) → T
  perm : isIso f → node g ≡ node (g ∘ f)
  isSet : {e0 e1 : u ≡ v} → e0 ≡ e1
```

Dependent types as a QIIT

```
data Con where
  •      : Con
  _,_   : ( $\Gamma$  : Con) → Ty  $\Gamma$  → Con

data Ty where
   $\underline{U}$      : Ty  $\Delta$  → Tms  $\Gamma$   $\Delta$  → Ty  $\Gamma$ 
  El      : (A : Tm  $\Gamma$  U) → Ty  $\Gamma$ 
   $\Pi$       : (A : Ty  $\Gamma$ ) (B : Ty  $(\Gamma , A)$ ) → Ty  $\Gamma$ 

data Tms where
  ε      : Tms  $\Gamma$  •
  _,_   : ( $\delta$  : Tms  $\Gamma$   $\Delta$ ) → Tm  $\Gamma$  (A [  $\delta$  ] T) → Tms  $\Gamma$  ( $\Delta , A$ )
  id    : Tms  $\Gamma$   $\Gamma$ 
  _, $\circ$ _ : Tms  $\Delta$   $\Sigma$  → Tms  $\Gamma$   $\Delta$  → Tms  $\Gamma$   $\Sigma$ 
   $\pi_1$   : Tms  $\Gamma$  ( $\Delta , A$ ) → Tms  $\Gamma$   $\Delta$ 

data Tm where
   $\underline{[ } \underline{] t$  : Tm  $\Delta$  A → ( $\delta$  : Tms  $\Gamma$   $\Delta$ ) → Tm  $\Gamma$  (A [  $\delta$  ] T)
   $\pi_2$     : ( $\delta$  : Tms  $\Gamma$  ( $\Delta , A$ )) → Tm  $\Gamma$  (A [  $\pi_1$   $\delta$  ] T)
  app    : Tm  $\Gamma$  (Π A B) → Tm  $(\Gamma , A)$  B
  lam   : Tm  $(\Gamma , A)$  B → Tm  $\Gamma$  (Π A B)
```

```

[id]T : A [ id ]T ≡ A
[] []T : (A [ δ ]T) [ σ ]T ≡ A [ δ ° σ ]T
U[] : U [ δ ]T ≡ U
El[] : El A [ δ ]T ≡ El (coe (TmΓ= U[])) (A [ δ ]t)
Π[] : (Π A B) [ δ ]T ≡ Π (A [ δ ]T) (B [ δ ^ A ]T)

```

```

idl   : id ° δ ≡ δ
idr   : δ ° id ≡ δ
ass   : (σ ° δ) ° ν ≡ σ ° (δ ° ν)
, °   : (δ , a) ° σ ≡ (δ ° σ) , coe .. (a [ σ ]t)
π₁β   : π₁ (δ , a) ≡ δ
πη    : (π₁ δ , π₂ δ) ≡ δ
εη    : {σ : Tms Γ •} → σ ≡ ε

```

```

[id]t : t [ id ]t ≡ [ id]T ]≡ t
[] []t : (t [ δ ]t) [ σ ]t ≡ [ [] []T ]≡ t [ δ ° σ ]t
π₂β   : π₂ (δ , a) ≡ [ π₁β ]≡ a
lam[] : (lam t) [ δ ]t ≡ [ Π[] ]≡ lam (t [ δ ^ A ]t)
Πβ    : app (lam t) ≡ t
Πη    : lam (app t) ≡ t

```

The Recursor

```
record Motives : Set, where
  field
    ConM : Set
    TyM : ConM → Set
    TmsM : ConM → ConM → Set
    TmM : (ΓM : ConM) → TyM ΓM → Set
```

```
record Methods (M : Motives) : Set, where
  field
    •M : ConM
    _, CM _ : (ΓM : ConM) → TyM ΓM → ConM
    ...
```

```
module rec (M : Motives) (m : Methods M) where

  Con-elim : Con → ConM
  Ty-elim : (A : Ty Γ) → TyM (Con-elim Γ)
  Tms-elim : (δ : Tms Γ Δ) → TmsM (Con-elim Γ) (Con-elim Δ)
  Tm-elim : (t : Tm Γ A) → TmM (Con-elim Γ) (Ty-elim A)
```

Motives + Methods

=

Algebras

=

Models of TT

Set theoretic model

Problem: Set is not a set!

```
data UU : Set
```

```
EL : UU → Set
```

```
data UU where
```

```
'Π' : (A : UU) → (EL A → UU) → UU
```

```
'Σ' : (A : UU) → (EL A → UU) → UU
```

```
'T' : UU
```

```
EL ('Π' A B) = (x : EL A) → EL (B x)
```

```
EL ('Σ' A B) = Σ (EL A) λ x → EL (B x)
```

```
EL 'T' = T
```

```

M : Motives
M = record
  { ConM = UU
  ; TyM = λ [Γ] → EL [Γ] → UU
  ; TmsM = λ [Γ] [Δ] → EL [Γ] → EL [Δ]
  ; TmM = λ [Γ] [A] → (γ : EL [Γ]) → EL ([A] γ)
  }

```

```

m : Methods M
m = record
  { •M = 'T'
  ; _, CM _ = λ [Γ] [A] → 'Σ' [Γ] [A]
  ...
  ; [id] TM = refl
  ; [] [] TM = refl
  ...
  }

```

```

[ ]C : Con → UU
[ ]T : Ty Γ → EL ([Γ] C) → UU
[ ]s : Tms Γ Δ → EL ([Γ] C) → EL ([Δ] C)
[ ]t : (t : Tm Γ A) → (γ : EL ([Γ] C)) → EL ([A] T γ)

```

The logical predicate translation (almost finished)

- Inspired by JP Bernardy et al on parametricity for dependent types
- A syntactic translation assigning to
 - each context, an extended context
 - to every type, a logical predicate
 - to every term, a proof that the term satisfies the logical predicate.
- requires dependent eliminator

The presheaf interpretation (started)

- Fix a category \mathcal{C}
- Contexts are interpreted as presheaves
- Types as families of presheaves
- Substitutions are natural transformations
- Terms are global sections

Normalisation by evaluation

- Normal forms are a presheaf over the category of variable substitutions.
- We can generalise NBE from simple types to dependent types.
- However, the normal forms have types which are not normal.

Normal forms with normal types?

- Can we define a mutual datatype of normal forms with normal types?
- No equations, no truncation!
- Use this to define semi-simplicial types?

- ⦿ We need to define normalisation mutual with normal forms!
- ⦿ Even in the simplest case (only variables) this leads to a new coherence problem!
- ⦿ substitution is defined by recursion

```

 $\underline{\_}[\underline{\_}]T : \text{Ty } \Delta \rightarrow \text{Vars } \Gamma \Delta \rightarrow \text{Ty } \Gamma$ 
 $\underline{\_}[\underline{\_}]v : \text{Var } \Delta A \rightarrow (\delta : \text{Vars } \Gamma \Delta) \rightarrow \text{Var } \Gamma (A [\delta] T)$ 

data Vars where
  ε : Vars Γ •
  _,_ : (δ : Vars Γ Δ) {A : Ty Δ} → Var Γ (A [δ] T)
    → Vars Γ (Δ , A)

wk : {A : Ty Γ} → Vars (Γ , A) Γ

data Var where
  vz : Var (Γ , A) (A [wk] T)
  vs : Var Γ A → Var (Γ , B) (A [wk] T)

```



Complete Failure !

Your goal was to model
univalent universes
but you can only eliminate
into a set!

2-level theory

- Start with a strict type theory (with K)
- Introduce a universe with a univalent equality, can only eliminate into the universe.
- Syntax of Type Theory has to be defined in the strict theory
- However we can use the univalent universe to build models.